

# Lecture 6 : Shannon Theory

Claude Shannon (1948)

1. How much can a message be compressed?
2. At what rate can one communicate reliably over a noisy channel?

We will model the message as a random variable

$$X := \{x, p(x)\}$$

↑  
letter

↑  
probability

← alphabet

$$x \in \{0, 1, \dots, d-1\}$$

$$p(x) \in [0, 1]$$

$$\sum_x p(x) = 1$$

n-letter message

$$x_1, x_2, \dots, x_n$$

has probability  $\prod_{i=1}^n p(x_i)$

if it is independently and identically distributed (iid).

We can represent this as  
a random variable

$$X^n = \{ \underline{x}, p(\underline{x}) \}$$

$\nearrow$   
 $(x_1, x_2, \dots, x_n)$

$\uparrow$   
 $p(x_1) p(x_2) \dots p(x_n)$



Consider a long message

i.e.  $n \gg 1$ .

Can we compress such a message?

Consider a binary alphabet  $x \in \{0, 1\}$

$$p(0) = 1 - p \quad p \in [0, 1]$$

$$p(1) = p$$

Law of large numbers:

Typical strings have  $n(1-p)$  0's  
and  $np$  1's.

# of possible strings

$$\binom{n}{np} = \frac{n!}{(np)!(n(1-p))!} = \binom{n}{n(1-p)}$$

Recall Stirling's approximation

$$\log n! = n \log n - n + O(\log n)$$

$$\log \binom{n}{np}$$

$$= \log \left( \frac{n!}{(np)!(n(1-p))!} \right)$$

$$= \log n! - \log (np)! - \log (n(1-p))!$$

$$\approx n \log n - \cancel{n} - np \log np + \cancel{np} \\ - n(1-p) \log (n(1-p)) + \cancel{n(1-p)}$$

$$= n ( \cancel{\log n} - p \cancel{\log n} - p \log p \\ - (1-p) \cancel{\log n} - (1-p) \log (1-p) )$$

$$= n ( -p \log p - (1-p) \log (1-p) )$$

$$= n H(p)$$

where  $H(p)$  is the binary entropy

Stirling's approximation is for the natural logarithm but we will use log base 2 (convenient for binary alphabet).

Making this change just scales the log by a constant, which is not significant.

The # of typical strings is therefore

$$2^{n H(p)}$$

We can represent the typical messages using only

$n H(p) + \delta$  bits rather than  $n$

As we will see, the probability that the message is atypical is negligible in the limit  $n \rightarrow \infty$ .

Note that  $H(p) \in [0, 1]$

$H(p) = 1$  for  $p = 1/2$  only

So for any distribution other than the uniform distribution, we can compress the message

Let's make this more precise

Consider  $X = \{x, p(x)\}$  Random Variable (RV)  
 $x \in \{0, 1, \dots, d-1\}$

$d$ -letter alphabet again

$$\mathbb{E}_X [f(x)] = \sum_x f(x) p(x)$$

Expectation value of  $f(x)$

$$\mu[X] = \mathbb{E}_X [x] = \sum_x x p(x)$$

Strong law of large numbers

For any  $\varepsilon, \delta > 0 \quad \exists N$  s.t.

$$\left| \frac{1}{n} \sum_{i=1}^n x_i - \mu[X] \right| \leq \delta$$

w/ probability at least  $1 - \varepsilon$

for all  $n \geq N$ .

Def : Shannon entropy of an RV

$$\begin{aligned} H(X) &= \mathbb{E}_X \left[ \log_2 \frac{1}{p(x)} \right] \\ &= - \sum_x p(x) \log_2 p(x) \end{aligned}$$

Def : a sequence of  $n$  letters  
is  $\delta$ -typical if

$$H(X) - \delta \leq -\frac{1}{n} \log_2 p(x_1 \dots x_n) \leq H(X) + \delta$$

$$-(H(X) - \delta) \geq \frac{1}{n} \log_2 p(-) \geq -(H(X) + \delta)$$

Lemma

For any  $\epsilon, \delta > 0 \exists N$  s.t.

all sequences of  $n \geq N$  letters  
are  $\delta$ -typical w/ probability  $1 - \epsilon$ .

Proof

Define RV  $Y = \left\{ \log_2(1/p(x)), p(x) \right\}$

Strong law of large numbers  $\exists N$  s.t.

$$\left| \frac{1}{n} \sum_{i=1}^n y_i - \mathbb{E}[Y] \right| \leq \delta$$

w/ probability  $1 - \epsilon \forall n \geq N$

$$\mu[Y]$$

$$= \mathbb{E}_Y[Y]$$

$$= \sum_y y p(y)$$

$$= \sum_x \log_2(1/p(x)) p(x)$$

$$= \mathbb{E}_X[\log_2(1/p(x))] = H(X)$$

$$\left| \frac{1}{n} \sum_{i=1}^n \log(1/p(x_i)) - H(X) \right| \leq \delta$$

$$\frac{1}{n} \sum_{i=1}^n \log(1/p(x_i)) - H(X) \leq \delta$$

$$-\frac{1}{n} \sum_{i=1}^n \log(p(x_i)) \leq H(X) + \delta$$

$$-\frac{1}{n} \log p(x_1 x_2 \dots x_n) \leq H(X) + \delta$$

For the lower bound the manipulation is analogous.  $\square$

This lemma tells us that each  
typical  $n$ -letter sequence

$\underline{x} = (x_1, x_2, \dots, x_n)$  occurs w/

probability  $p(\underline{x})$  satisfying

$$p_{\min} = 2^{-n(H(x) + \delta)}$$

$$\leq p(\underline{x})$$

$$\leq 2^{-n(H(x) - \delta)} = p_{\max}$$

( Multiply  $\delta$ -typical inequality by  $-1$  )  
and raise to power 2



The number of typical sequences

$N_{\text{typ}}(\epsilon, \delta, n)$  is bounded

$$N_{\text{typ}} p_{\min} \leq \sum_{\text{typical } \underline{x}} p(\underline{x}) \leq 1$$

$$N_{\text{typ}} \leq \frac{1}{p_{\min}} = 2^{n(H(X) + \delta)}$$

$$N_{\text{typ}} p_{\max} \geq \sum_{\text{typical } \underline{x}} p(\underline{x}) \geq 1 - \epsilon$$

$$N_{\text{typ}} \geq \frac{1}{p_{\max}} (1 - \epsilon) = (1 - \epsilon) 2^{n(H(X) - \delta)}$$

$$2^{n(H(X) + \delta)} \geq N_{\text{typ}}(\epsilon, \delta, n)$$

$$\geq (1 - \epsilon) 2^{n(H(X) - \delta)}$$

Therefore we can encode all  
typical sequences using just  
 $n(H(X) + \delta)$  bits, or equivalently  
 $H(X) + \delta$  bits per letter.

This will only fail for atypical  
sequences, which occur w/ probability  
 $\epsilon$ . Therefore the success probability  
is  $1 - \epsilon$ .

Suppose we try instead to use  
only  $H(X) - \delta'$  bits per letter.  
 $\delta' > \delta$

Probability that we encounter a  
typical sequence we can encode  
is upper bounded by

# of sequences we encode

$$(1 - \epsilon) 2^{n(H(X) + \delta)}$$

↑ lower bound  
on  $N_{\text{typ}}$

$$= \frac{2^{n(H(X) - \delta')}}{(1 - \epsilon) 2^{n(H(X) - \delta)}}$$

$$= \frac{2^{-n(\delta' - \delta)}}{(1 - \epsilon)}$$

$$\delta' - \delta > 0$$

$\Rightarrow$  Exponentially small in  $n$

Compression rate

$$R = \frac{m}{n}$$

← bits encoded  
per letter

Theorem : Source coding (Shannon)

Compression rate

$R = H(X) + o(1)$  is achievable

$R = H(X) - \Omega(1)$  is not achievable

Aside : Big O notation

Intuition

$$f(x) = O(g(x))$$

$$f(x) \leq g(x) \text{ asymptotically}$$

$$f(x) = o(g(x))$$

$$f(x) < g(x) \text{ asymptotically}$$

$$f(x) = \Omega(g(x))$$

$f(x) \gg g(x)$  asymptotically

$$f(x) = w(g(x))$$

$f(x) \gg g(x)$  asymptotically

$$f(x) = O(g(x))$$

$$\text{a } f(x) = \Omega(g(x))$$

$$\text{then } f(x) = \Theta(g(x))$$

Formally

$$f(x) = O(g(x))$$

$$\Rightarrow \exists x_0, a > 0 \text{ s.t.}$$

$$\forall x \geq x_0 \quad f(x) \leq a g(x) \text{ etc.}$$

$$f(x) = o(1)$$

$$\forall \varepsilon > 0 \exists x_0$$

$$f(x) \leq \varepsilon \quad \forall x \geq x_0$$

$$f(x) = \Omega(1)$$

$$\exists x_0, \quad a > 0 \text{ s.t.}$$

$$\forall x \geq x_0 \quad f(x) \geq a$$

Note that we did not discuss how to do the compression, we just argued that it is possible to achieve a certain compression rate. Studying this is a entire topic unto itself and beyond the scope of this course.

## Noisy channel coding

Suppose Alice wants to send information to Bob over a noisy communication channel.

What is the maximal communication rate that she can achieve?

### Binary symmetric channel

$$p(0|0) = 1-p = p(1|1)$$

↑      ↑  
B gets   A sends

$$p(0|1) = p = p(1|0)$$

Alice uses the channel  $n$  times to send a message to Bob.

She chooses  $2^k$  codeword

strings from the possible  $2^n$  strings of length  $n$ .

The encoding rate  $R = \frac{k}{n}$

How to ensure successful transmission?

Choose codewords whose Hamming distance from each other is large.

Hamming distance between  $x$  &  $y$  is the number of bits we need to flip to turn  $x$  into  $y$ .

e.g.  $x = 01101$

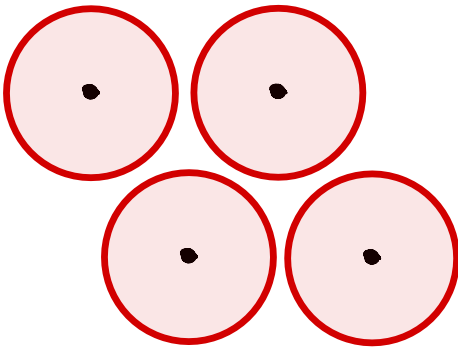
$y = 11100$


Hamming distance = 2



Expected # of bit-flips is  $np$

For a given input codeword the output is one of  $2^{nH(p)}$  typical strings w/ high probability.



Choose codewords  $\bullet$  such that each error sphere  contains around  $2^{nH(p)}$  and error spheres for different codewords are distinct.

# of codewords  $2^k = 2^{nR}$

volume of error  
sphere  $2^{nH(p)}$

To construct the code we need

$$2^{nR} 2^{nH(p)} \leq 2^n$$

$$R \leq 1 - H(p) := C(p)$$

↑ channel  
capacity

Is this rate achievable?

Yes using random codes.

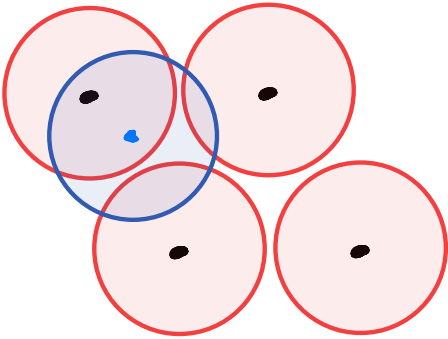
Suppose that  $Z$  is the uniformly random distribution (for a single bit).

Sample from  $Z^n$  a total of  $2^{nR}$  times to generate  $2^{nR}$  random codewords.

To send a message, Alice chooses one of these codewords and sends it to Bob using the channel  $n$  times. To decode Bob draws a Hamming sphere with radius  $np + \delta$  around his received string. If the sphere contains a unique

Codeword he decodes accordingly.

Otherwise he picks one of the options at random.



For any  $\delta > 0$  Bob's Hamming sphere contains Alice's codeword w/ high probability. What is the probability that it also contains another codeword?

# possible strings  $2^n$

# strings in Bob's Hamming  
sphere  $2^{n(H(p) + \delta)}$

Prob. that a given string is in Bob's  
Hamming sphere

$$\frac{2^{n(H(p) + \delta)}}{2^n} = 2^{-n(C(p) - \delta)}$$

# codewords  $2^{nR}$

Codewords are uniformly random,  
so the probability that Bob's  
Hamming sphere contains another  
codeword is upper bounded by

$$2^{nR} 2^{-n(C(p) - \delta)}$$

$$= 2^{-n(C(p) - R - \delta)}$$

Choose  $R = C(p) - \text{const.}$

to make this arbitrarily  
small as  $n \rightarrow \infty$ .

So far we have shown that  
for a random code, a  
randomly chosen codeword will  
be decoded successfully with  
high probability when sent  
over the channel.

$$\frac{1}{2^{nR}} \sum_{i=1}^{2^{nR}} p_i^{\text{error}} \leq \epsilon$$

Let  $N_{2\epsilon} = \#$  of codewords  
w/  $p_i^{\text{error}} \geq 2\epsilon$

$$\frac{1}{2^{nR}} N_{2\epsilon} 2\epsilon \leq \epsilon$$

$$N_{2\epsilon} \leq 2^{nR-1}$$

So if we throw away half  
the codewords then we are  
guaranteed to have error  
less than  $2\epsilon$  for all remaining  
codewords.

New code has rate

$$R' = R - \frac{1}{n} \quad \frac{1}{n} = o(1)$$

$$\Rightarrow R = C(p) - o(1)$$

is achievable where

$$C(p) = 1 - H(p).$$

If we pick a sequence of random codes then we will achieve this performance

w/ high probability. Then there must exist a

particular sequence of codes



that achieves the desired performance.

For RV  $X = \{x, p(x)\}$

there always exists

$$x' \text{ s.t. } \mathbb{E}_x[x] \leq x'$$

$$\& x'' \text{ s.t. } x'' \leq \mathbb{E}_x[x]$$

We now consider two RVs

$X$  &  $Y$  that may be

correlated. We can write the joint distribution

$$XY = \{ (x, y), p(x, y) \}$$

The marginal distribution

$$X = \{ x, p(x) = \sum_y p(x, y) \}.$$

Suppose we sample from  $XY$

$n$  - times, giving a message

$$(\underline{x}, \underline{y}) = (x_1, x_2 \dots x_n, y_1, y_2 \dots y_n)$$

$$p(\underline{x}, \underline{y}) = p(x_1, y_1) p(x_2, y_2) \dots p(x_n, y_n)$$

We say that  $(x, y)$  is jointly  
 $\delta$ -typical if

$$2^{-n(H(X)+\delta)} \leq p(x) \leq 2^{-n(H(X)-\delta)}$$

$$2^{-n(H(Y)+\delta)} \leq p(y) \leq 2^{-n(H(Y)-\delta)}$$

$$2^{-n(H(XY)+\delta)} \leq p(x, y) \leq 2^{-n(H(XY)-\delta)}$$

Strong law of large numbers

implies for any  $\epsilon, \delta > 0 \exists N$

s.t.  $\forall n \geq N$  such that  $(x, y)$

is jointly  $\delta$ -typical w/ probability

at least  $1 - \epsilon$ .

Using Bayes's rule we can derive expressions for the conditional probabilities

$$\begin{aligned} p(x|y) &= \frac{p(x, y)}{p(y)} \\ &\geq \frac{2^{-n(H(XY) + \delta)}}{2^{-n(H(Y) - \delta)}} \\ &= 2^{-n(H(X|Y) + 2\delta)} \end{aligned}$$

$$\begin{aligned} p(x|y) &\leq \frac{2^{-n(H(XY) - \delta)}}{2^{-n(H(Y) + \delta)}} \\ &= 2^{-n(H(X|Y) - 2\delta)} \end{aligned}$$

where we have introduced the conditional entropy of  $X$  given  $Y$ .

$$H(X|Y) = H(XY) - H(Y)$$

This quantifies the remaining uncertainty I have about  $x$  once I know  $y$ .

If  $(x, y)$  is jointly  $\delta$ -typical then  $H(X|Y) + o(1)$  bits are needed to specify  $x$  once  $y$  is known (with probability  $1 - \epsilon$ ).

The information about  $x$  that  
I gain when I learn  $y$  is the  
mutual information

$$\begin{aligned} I(X; Y) &= H(X) - H(X|Y) \\ &= H(X) - (H(XY) - H(Y)) \\ &= H(Y) - H(Y|X) \end{aligned}$$

This quantifies how much  $X$   
&  $Y$  are correlated.

# Noisy channel coding

## General case

Alphabet  $\{0, 1, \dots, d-1\}$

Channel  $p(y|x)$

Bob gets  $\rightarrow$   $\leftarrow$  Alice sends

Again, A & B use a random code.

① Choose some distribution

$$X = \{x, p(x)\}$$

② Generate a codeword by sampling from  $X$   $n$  times

③ Repeat ②  $2^{nR}$  times  $R = k/n$

How does Bob decode?

- He gets message  $y$ .
- He checks whether a codeword  $\underline{x}$  exists such that  $\underline{x}$  &  $y$  are jointly typical.
- If  $\underline{x}$  exists & is unique then he outputs  $\underline{x}$ .
- Otherwise he chooses at random.

We now bound the probability of a decoding error  $p_{\text{error}}$ .


The input distribution and the channel determine the joint



distribution  $X \times Y$ .

$$X = \{x, p(x)\}$$

$$Y = \{y, p(y) = \sum_x p(x, y)\}$$
$$= \sum_x p(y|x) p(x)$$

Bayes 

For  $n$  uses of the channel  
we get the distribution  $X^n Y^n$ ,  
as the codewords are randomly  
sampled from  $X$ .

By the strong law of large  
number, for  $\epsilon, \delta > 0$  &  $n \geq N$   
a sequence drawn from  $X^n Y^n$

will be jointly  $\delta$ -typical w/ probability  $1 - \epsilon$ .

So w/ prob.  $1 - \epsilon$  Bob's received vector  $y$  will be jointly  $\delta$ -typical w/ the codeword  $\underline{x}$ .

But are there any other codewords that are jointly  $\delta$ -typical w/  $y$ ?

Let  $\underline{x}' \neq \underline{x}$  denote another codeword.

$\underline{x}'$  is sampled independently

from  $\underline{x}$ , so  $\underline{x}'$  is independent of  $y$ .

$$p(\underline{x}, y) \leq 2^{-n(H(x, y) - \delta)}$$

$$1 \geq \sum_{\substack{\underline{x}, y \\ \text{jointly } \delta\text{-typical}}} p(\underline{x}, y) \geq N_{jt} 2^{-n(H(x, y) - \delta)}$$

$$N_{jt} \leq 2^{n(H(x, y) - \delta)}$$

$$p(y) \leq 2^{-n(H(y) - \delta)}$$

$$p(\underline{x}') \leq 2^{-n(H(x') - \delta)}$$

$$\sum_{\substack{\underline{x}', y \\ \text{j. } \delta\text{-typ.}}} p(\underline{x}', y) = \sum_{\substack{\underline{x}', y \\ \text{j. } \delta\text{-typ.}}} p(\underline{x}') p(y)$$

$$\leq N_{jt} 2^{-n(H(x) - \delta)} 2^{-n(H(y) - \delta)}$$

$$\leq 2^{n(H(x, y) - H(x) - H(y) - 3\delta)} \\ = 2^{n(I(x; y) - 3\delta)}$$

The code has  $k = nR$  codewords

so the probability that any other codeword except  $x$  is jointly  $\epsilon$ -typical w/  $y$  is upper bounded by

$$2^{nR} 2^{-n(I(X;Y) + \epsilon)}$$

$$= 2^{n(R - I(X;Y) + \epsilon)}$$

Choose  $R = \overset{\text{rate}}{I(X;Y)} - \epsilon - \epsilon$

then the probability of error is

$$p_{\text{error}} = \underbrace{\epsilon}_{x, y \text{ not j.t.}} + (1 - \epsilon) 2^{-n\epsilon} \underbrace{\epsilon}_{\text{j.t. w/ } y} \dots \epsilon$$

We can make this arbitrarily close to 0 as we increase  $n$ .

We have actually bonded  
the average error probability

$$\frac{1}{2^{nR}} \sum_{i=1}^{2^{nR}} p_i^{\text{error}} \leq \varepsilon + (1-\varepsilon)2^{-n\epsilon} \\ = \varepsilon'$$

We can again prune the  
code. Let  $N_{2\varepsilon'}$  denote the  
# of codewords w/  $p_i^{\text{error}} > 2\varepsilon'$

$$\frac{1}{2^{nR}} N_{2\varepsilon'} 2\varepsilon' \leq \varepsilon' \\ N_{2\varepsilon'} \leq 2^{nR-1}$$

Discard  $1/2$  of the codewords  
to achieve  $p_i^{\text{error}} \leq 2\varepsilon' \quad \forall i$ .

The new code has rate

$$R' = R - \frac{1}{n}$$

So we can conclude that

$$R' = I(X; Y) - o(1) \text{ is achievable.}$$

We are free to choose  $X$

so the channel capacity is

$$C := \max_X I(X; Y)$$

This only depends on the probabilities  $p(y|x)$  that define the channel.

So we can achieve any  $R < C$ .

Can we do better?

Consider the uniform distribution over codewords

$$\tilde{X}^n = \{ \tilde{x}, p(\tilde{x}) = 2^{-nR} \}$$

↑  
codeword

$$H(\tilde{X}^n) = - \sum_{\tilde{x}} p(\tilde{x}) \log_2 p(\tilde{x})$$

$$= nR \sum_{\tilde{x}} p(\tilde{x}) = nR$$

$$\tilde{Y}^n = \{ \tilde{y}, p(\tilde{y}) = \sum_{\tilde{x}} p(\tilde{y} | \tilde{x}) p(\tilde{x}) \}$$

$$\stackrel{||}{=} 2^{-nR} \sum_{\tilde{x}} p(\tilde{y} | \tilde{x})$$

The channel acts on the letters of  $\underline{\tilde{x}}$  independently so

$$p(\underline{\tilde{y}} | \underline{\tilde{x}})$$

$$= p(\tilde{y}_1 | \tilde{x}_1) \cdots p(\tilde{y}_n | \tilde{x}_n)$$

$$H(\tilde{Y}^n | \tilde{X}^n) = \mathbb{E}_{\tilde{X}^n \tilde{Y}^n} [-\log_2 p(\underline{\tilde{y}} | \underline{\tilde{x}})]$$

$$= -\sum_{\underline{\tilde{x}}, \underline{\tilde{y}}} p(\underline{\tilde{x}}, \underline{\tilde{y}}) \log_2 p(\underline{\tilde{y}} | \underline{\tilde{x}})$$

$$= -\sum_{\underline{\tilde{x}}, \underline{\tilde{y}}} p(\underline{\tilde{x}}, \underline{\tilde{y}}) \log_2 \prod_i p(\tilde{y}_i | \tilde{x}_i)$$

$$= -\sum_{\underline{\tilde{x}}, \underline{\tilde{y}}} p(\underline{\tilde{x}}, \underline{\tilde{y}}) \sum_i \log_2 p(\tilde{y}_i | \tilde{x}_i)$$

$$= -\sum_i \sum_{\underline{\tilde{x}}, \underline{\tilde{y}}} p(\underline{\tilde{x}}, \underline{\tilde{y}}) \log_2 p(\tilde{y}_i | \tilde{x}_i)$$



Consider specific  $i$

$$\sum_{\tilde{x}, \tilde{y}} p(\tilde{x}, \tilde{y}) \log_2 p(\tilde{y}_i | \tilde{x}_i)$$

$$\sum_{\tilde{x}_1} \dots \sum_{\tilde{x}_n} \sum_{\tilde{y}_1} \dots \sum_{\tilde{y}_n} p((\tilde{x}_1, \dots, \tilde{x}_n), (\tilde{y}_1, \dots, \tilde{y}_n)) \log_2 p(\tilde{y}_i | \tilde{x}_i)$$

$$= \sum_{\tilde{x}_i, \tilde{y}_i} \log_2 p(\tilde{y}_i | \tilde{x}_i)$$

$$\times \sum_{\tilde{x}_{-i}, \tilde{y}_{-i}} p(\underline{x}, \underline{y})$$

$$= \sum_{\tilde{x}_i, \tilde{y}_i} p(\tilde{x}_i, \tilde{y}_i) \log_2 p(\tilde{y}_i | \tilde{x}_i)$$

$$= -H(\tilde{Y}_i | \tilde{X}_i)$$

$$H(\tilde{Y}^n | \tilde{X}^n) = \sum_i H(\tilde{Y}_i | \tilde{X}_i)$$

Shannon entropy is subadditive

$$H(\tilde{Y}^n) = H(\tilde{Y}_1, \dots, \tilde{Y}_n) \leq \sum_i H(\tilde{Y}_i)$$

$$I(\tilde{Y}^n; \hat{X}^n) = H(\tilde{Y}^n) - H(\tilde{Y}^n | \hat{X}^n)$$

$$\leq \sum_i H(\tilde{Y}_i) - H(\tilde{Y}_i | \hat{X}_i)$$

$$= \sum_i I(\tilde{Y}_i; \hat{X}_i) \leq nC$$

$$I(\tilde{Y}^n; \hat{X}^n) = I(\hat{X}^n; \tilde{Y}^n)$$

$$= H(\hat{X}^n) - H(\hat{X}^n | \tilde{Y}^n)$$

$$= nR - H(\hat{X}^n | \tilde{Y}^n) \leq nC$$

If Bob can decode reliably then

$$\lim_{n \rightarrow \infty} \frac{1}{n} H(\hat{X}^n | \tilde{Y}^n) = 0$$

The received vector determines the sent codeword.

$$\Rightarrow R \leq C + o(1)$$

Two things to note

⑦ The formula for the capacity

$$C = \max_X I(X; Y) \text{ is a}$$

single-letter formula i.e. it

depends only on a single use of the channel but applies to arbitrarily long messages. We can often compute the capacity.

② The random codes method is not efficient. Encoding and decoding require an exponentially large code book.

Finding efficient codes that achieve the capacity is highly non-trivial. For the BSC this was only achieved in the 90s,  $\sim 50$  years after Shannon's paper.